Executive M.B.L.-HSG



Course XXIII

Module I, St.Gallen "Introduction to European and International Business Law": II^{th} June -22^{th} June 2018

LIST OF SPEAKERS

BACHMANN ROGER, lic. iur., MBA, Executive M.B.L.-HSG Legal Counsel, Executive Director at UBS AG Zurich, Switzerland

Roger H. Bachmann studied law in Southampton (U.K.) and Fribourg (Switzerland). Additionally, he completed postgraduate studies in Compliance Management, the Executive Masters of Business Administration (MBA) and the Executive Master of European and International Business Law (E.M.B.L.-HSG). From 2001 until 2004, he worked at SIX Swiss Exchange, first as head of the department "Enforcement & Compliance". Later he was the deputy head of the self-regulated organization "Surveillance & Enforcement" and head of compliance department of Eurex Zurich AG was added to his responsibilities. From 2004 until 2006 Roger H. Bachmann was a member of the US based compliance officer team at Swiss Reinsurance Company with the personal responsibility for global transactions conducted from the hubs London & Zurich. From 2006 until 2008, he headed the compliance team for investment products at Credit Suisse. Afterwards he headed from 2008 until 2013 the Legal & Compliance department for Investment Banking at Zurich Kantonalbank. From 2014 until 2015 he was Consultant for legal and regulatory Compliance within the Global Market project onsite at Sberbank Switzerland AG.

BINDER ANDREAS, Prof. Dr.

Honorary professor at the University of St.Gallen, attorney-at-law at Binder Rechtsanwälte, member of various boards of directors of industrial, trade and financial companies, St.Gallen/Baden, Switzerland

Prof. Dr. Andreas Binder graduated from the University of St.Gallen in 1983 (lic. oec. and lic. iur.). Since his admission to the bar of the Canton Aargau in 1984, he has been practicing as attorney-at-law at Binder Rechtsanwälte. In 1987 he attained his doctorate (Dr. iur.) at the University of Basel. In 2004 he was designated as honorary professor of debt law and company law at the University of St.Gallen.

BÜHLER STEFAN, Prof. Dr. Professor of Applied Microeconomics, University of St.Gallen, St.Gallen, Switzerland

Stefan Buehler is Full Professor at the School of Economics and Political Science (SEPS-HSG) since 2012, and Managing Director of the Institute of Economics (FGN-HSG) since 2013. He served as an Independent Member (2005-2010) and Vice President (2010-2015) of the Swiss Competition Commission (WEKO). He was also a Visiting Professor at the Vienna University of Economics and Business, a Swiss National Science Foundation Professor, and a Visiting Research Scholar at Duke University. Stefan Buehler has published numerous articles and books in applied microeconomics, industrial organization, and the theory and practice of antitrust.

DOERR PETER, Dr. iur. Former Secretary of the Board of Directors, LafargeHolcim Zurich, Switzerland

Peter Doerr is an independent advisor and board member and acted - inter alia - as Secretary of the Board of Directors at Lafarge Holcim and as Group General Counsel. He has extensive experience as Chairman and Member of Boards of Directors of group companies and of group minority participations in industry group and over 30 years of management and legal practice in global industry on corporate and operational company levels. Peter Doerr graduated from Zurich University Law School in 1984, where he also completed his Doctorate in Law in 1988. In 1991, he took an Executive MBA (PMD) from Harvard Business School. He speaks German, English, French, Italian, Spanish and Russian.

GIRSBERGER DANIEL, Prof. Dr.

Professor of Swiss and Private International Law, Business Law, Procedural Law and Comparative Law, Chairman of the University's Center for Conflict Resolution (CCR) *Lucerne, Switzerland*

Daniel Girsberger is a founding member of the Faculty of Law of the University of Lucerne and a tenured professor for Swiss and International Private, Business and Procedural, as well as Comparative Law. Before accepting the Lucerne assignment, he taught at the University of Zurich Law School. He is also of counsel at Wenger & Vieli Ltd., a major Zurich business law firm (www.wengervieli.ch).

Daniel Girsberger completed his legal and doctoral studies at the University of Zurich, where he also taught as an adjunct professor. He has an LL. M. in Common Law Studies from Georgetown University Law School, where he also spent time as a Visiting Scholar, as well as at the Max-Planck Institute for Foreign and International Private Law in Hamburg. He has taught as an adjunct at various universities and institutions abroad, for example in Brazil, Lithuania, the United States, and at the Academy for International Law in The Hague, and participated as a member of the Swiss delegation at the Hague Conference for Unification of International Private Law. He currently heads the Working Group on Choice of Law of the Hague Conference on Private International Law. He is also the Vice President of the Board of the Swiss Association for International Law and a member (and former head) of the editorial committee of the Swiss Journal of

International and European Law. Daniel Girsberger is the author of numerous publications focusing primarily on international business law and arbitration. He has also frequently acted as chairman, as arbitrator and counsel in numerous international commercial arbitration proceedings, both institutional and ad hoc, and has been an expert witness in various international litigation and arbitration proceedings.

GROLIMUND PASCAL, Prof. Dr. iur., LL.M., Attorney at Law, Partner, Kellerhals Carrard Basel, Switzerland

Pascal Grolimund is an experienced litigator (litigation in private, public and criminal law matters). He is furthermore a well-known expert in the area of insurance law. Pascal Grolimund supports numerous undertakings in strategic questions and negotiates contracts in national and international environments.

HALTERN ULRICH, Univ.-Prof., LL.M. (Yale)

Chair of German and Foreign Public Law, European Union Law and International Law at Albert-Ludwigs-University Freiburg
Freiburg, Germany

Ulrich Haltern studied law at the Universities of Bochum, Geneva, Yale and Harvard, and holds a Master of Laws degree from the Yale Law School. He holds the Chair of German and Foreign Public Law, European Union Law and International Law at Albert-Ludwigs-University Freiburg, and is the director of the Institute for Public Law, Section European Union Law and International Law there.

Professor Haltern has held numerous Visiting Professorships and was a Fellow at the Käte Hamburger Center for Advanced Study in Bonn (2010-11) and at the Institute for Advanced Study (Wissenschaftskolleg) at Berlin (2012-13). Since 2015, he is also the Inaugural Martin-Flynn Global Law Professor at the University of Connecticut Law School. He has written extensively on European Union law, international law, and legal philosophy.

His book "Obamas politischer Körper" reached the German top ten list of best nonfiction books in 2009.

HERRMANN NADINE, Dr., LL.M. (Sydney)

Managing Partner of Quinn Emanuel Urquhart & Sullivan, Chair of the firm's EU and German Competition Law Practice Hamburg, Germany

Nadine Herrmann earned her Ph.D. in law from Philipps-Universität Marburg (summa cum laude) and her LL.M. from the University of Sydney.

She has broad experience in litigation and investigations, representing her clients before courts and regulators (including the European Commission, the German Federal Cartel Office and the German Financial Supervisory Authority). Her competition expertise covers all aspects of German and European competition law, including abuse of dominance cases. Dr. Herrmann has particular expertise in cases involving the intersection of IP and competition law. Her IP practice focuses on design, unfair competition, copyright and trade secret cases.

The author of a textbook on EU competition and German unfair competition law, Dr. Herrmann has been internationally recognized as an expert litigator. She has practiced in Germany, the UK, and the United States.

HEYNEN BRUNO, lic. iur. HSG, LL.M., Attorney-at-law, Notary Secretary to the ECN, Novartis International AG Basel, Switzerland

Bruno Heynen is Secretary to the Executive Committee of Novartis and adviser to the Board and Management on governance matters. He holds a degree in law (lic. iur HSG) from the University of St. Gallen and is a Swiss attorney-at-law and a notary. He also holds a Master degree (LL.M.) in International Business Law from London School of Economics, London. Heynen is a member and former Co-Chairman of the European Council on Corporate Governance and Board Effectiveness. He joined Novartis in 2004 from DHL, where he was General Counsel DHL Air & Ocean. Before the merger of DHL and Danzas Bruno was the General Counsel of Danzas, being responsible for legal services, compliance and risk management. Previous to DHL/Danzas, he spent four years as Corporate Legal Counsel at Roche Holding in Basel, where he advised on corporate law, finance and mergers and acquisitions transactions and international commercial contracts. Prior to Roche, he spent three years with the Swiss law firm Froriep Renggli, working first from its Zürich office and then for two years from its London office. At Froriep Renggli Bruno was involved in various areas of international commercial law.

HINDERLING REGULA, Dr. iur., Attorney-at-Law, Certified Specialist SBA Employment Law
Partner at burckhardt Ltd
Basel, Switzerland

Regula Hinderling is a well-known specialist in employment law. Her practice focuses on advising global and national companies in matters of employment and data protection law and in representing them in litigation cases. She advises and represents global and national clients in various industry sectors (e.g. Pharma, banks and insurance sector, government bodies, hospitals, hotels, production and service sector and more). She heads the practice group employment law of the Basel Bar Association.

HOSTETTLER STEPHAN, Dr. Managing partner, HCM International Zurich, Switzerland

Dr. Stephan Hostettler is the founder and managing partner of HCM International and has been active as an entrepreneur and consultant in Switzerland, the US, Europe and other regions since 2002. Prior to this, he worked for a major Swiss bank in the area of financial analysis and as a consultant on corporate finance and corporate governance in the US.

His teaching activities at the University of St. Gallen, his research as well as publications and commentaries have made him an expert and thought leader in the topics of corporate governance, value-based management and executive compensation. As part of his consulting practice, he has advised more than 350 companies on the implementation of concrete recommendations. Stephan Hostettler has also become a renowned guest speaker at various conferences, including the TED Talks. He holds a doctorate degree in finance from the University of St. Gallen and is the author of several books.

HUBER PHILIPPE, Attorney-at-Law, MJur Corporate Secretary and Director Corporate Governance of Transocean Zug, Switzerland

Philippe Huber is the Corporate Secretary and Director Corporate Governance of Transocean. He advises the Board of Directors and Group Management on governance and securities matters. Philippe holds law degrees from the University of Zurich (lic.iur.) and the University of Oxford (Magister Juris, MJur). He is admitted to the Swiss bar. Prior to joining Transocean, Philippe worked in private practice in Zurich and London with the firm Bär & Karrer. He advised international clients on corporate, finance, regulatory and commercial matters. Philippe started his legal career as a law clerk at the district court of Zurich. He was the Legal Adviser and Human Resources Officer of the Swiss Army Contingent in the NATO lead Kosovo Force peace support mission in 2003.

JAEGER HANS-JOACHIM, Dr., Tax advisor, CFP® Partner Financial Services Tax, Ernst&Young Zurich, Switzerland

Hans-Joachim Jaeger is a partner at Ernst & Young and leads the division Banking & Capital Markets Tax. After training as a banker in Germany and a job in private banking, Mr. Jaeger studied in St. Gallen and also did his PhD there. After working as an options trader in London, he worked as a tax consultant at Arthur Andersen in Zurich and as a deputy group tax director at Julius Baer.

Since 2003, he has been with Ernst & Young. Here he advises banks and traders mainly in terms of operational control and structured financing. In addition to his job as tax consultant, Mr. Jaeger is a lecturer in tax law at the University of St. Gallen, and teaches in MBA, Executive MBA and MBL programs at the University of St. Gallen and the University of Lucerne. He is also in the tax committee of the Swiss Funds Association.

KUPPEL EKKEHARD, Dr. Founder, Sum Zurich, Switzerland

Ekki is a global citizen with deep business experience across a wide range of roles (CEO, investor, entrepreneur, consultant, board member), industries (services, FMCG, media, financial institutions), and countries (Germany, Spain, France, USA, Brazil, Argentina, Chile, and Switzerland).

Today, Ekki is focusing his work on helping CEOs, senior executives, and their teams integrate the three dimensions: It (business and tasks), We (relationships), and I (self). Ekki is also the founder of Mountain Wisdom, a Swiss foundation that takes CEOs to the mountains to explore the fundamental questions in life.

MALACRIDA, RETO, Dr. WTO, Senior dispute settlement lawyer *Geneva*, *Switzerland*

Dr Reto Malacrida, LLM, MA is Counsellor (senior dispute settlement lawyer) with the Legal Affairs Division of the WTO Secretariat in Geneva. His main responsibility is to provide legal advice to WTO adjudicators (WTO panelists and arbitrators) in intergovernmental WTO dispute settlement proceedings and to lead teams of Secretariat lawyers and other staff working with WTO adjudicators. Before joining the Legal Affairs Division, he was a legal officer with the Secretariat's Technical Cooperation Division. There, he provided legal advice and assistance to developing country WTO Members in respect of dispute settlement. Reto is also a part-time lecturer at the University of St.Gallen.

RUIGROK WINFRIED, Prof. Dr.

Dean, Executive School of Management, Technology & Law, University of St. Gallen Director, Research Institute for International Management, University of St. Gallen St. Gallen, Switzerland

Professor Winfried Ruigrok (NL) is the Dean of the Executive School of Management, Technology & Law (ES-HSG) at the University of St.Gallen. The Executive School of Management, Technology & Law is the University of St.Gallen's platform for all post-experience and executive education (Weiterbildung) activities in the field of management, technology, law and social sciences. It offers a broad range of MBA, Executive MBA, open enrolment and in-house customised programmes to board members, senior and top executives as well as to mid-career managers and high potentials.

Winfried is also a Professor of International Management and the Director of the Research Institute for International Management (FIM-HSG) at the same university. His research is in the areas of international strategy, corporate governance, top management teams and boards, diversity management, executive education, and case study research. His papers have been published in leading scholarly and popular outlets.

Winfried was the founding Academic Director of the Master of Business Administration (MBA) programme (2005-2010) and the founding Academic Director of the Master of International Management programme (MIM) (1999-2004) at the University of St.Gallen.

Winfried studied political science and international economic relations at the University of Amsterdam (Netherlands), where he also obtained his PhD. He previously worked in the Netherlands (Rotterdam School of Management/Erasmus University; University of Amsterdam; and Netherlands Organisation for International Development Cooperation), Belgium (European Commission), and the UK (Warwick Business School). He has won numerous awards and has worked as a consultant and leadership trainer with dozens of multinational corporations. He serves as a jury member of several organisations. Winfried can be followed on Twitter.

SPEITLER PHILIPP, Dr. Judge, Baden-Württemberg Konstanz, Germany

Dr. Philipp Speitler is a member of the judiciary of the German Land of. Prior to that, he served as the Head of Cabinet and a Legal Secretary to the President of the EFTA Court.

Philipp is also a corresponding member of the Executive M.B.L.-HSG and CC EIL-HSG as well as a lecturer at the University of St.Gallen HSG.

WERLEN THOMAS, Dr. iur., LL.M. (Harvard), Attorney-at-law (New York and Switzerland), PD
Partner, Quinn Emanuel
London, UK/Zurich, Switzerland

Dr. Thomas Werlen joined Quinn Emanuel as a partner in 2012. His practice focuses on high-profile matters such as FIFA, Sika and the like and includes white collar matters, litigation matters (including finance and life sciences) as well as arbitration. Prior to joining Quinn Emanuel, he served as Group General Counsel and a member of the Executive Committee for the global player Novartis. As GC of Novartis, Thomas oversaw a worldwide team of over 750 lawyers, covering all areas of litigation affecting a multinational company with a US listing in the heavily regulated pharmaceutical industry. This included class actions, product liability cases, intellectual property and in particular patent disputes, government investigations, commercial litigation and arbitration across multiple jurisdictions. Thomas joined Novartis from Allen & Overy in London, where he lead the continental European US Law practice as a leading capital markets and M & A lawyer. Thomas started his legal career at Cravath, Swaine & Moore in New York and London and Davis Polk in London covering all types of international banking and capital markets products including securities and complex financial transactions, such as derivatives, CDOs and other structured finance products. He was also involved in regulatory matters involving the SEC, the CFTC and various other capital markets regulators.