



Course XXIII

Module 2 Zurich “Finance”

10 – 15 September 2018

LIST OF SPEAKERS

BAGDASARIANZ PHILIP

Asset Manager and Director Maiestas Asset Management Vaduz,
Liechtenstein and Zurich, Switzerland

Philip’s objective has always been to put the interests of his international private clientele first throughout his work. For this he works as an independent asset manager and director of Maiestas Asset Management in Vaduz and Zurich. Philip routinely advises clients from South Asia, the Middle East, the UK and Central as well as Eastern Europe. Until 2014 he was with VP Bank Liechtenstein for 7 years as a senior client advisor and part of the banks investments advisory board. Before that he was with Credit Suisse Zürich for 6 years, first in the strategic team for private clients, then as a client advisor in the Private Banking department. Philip received his Masters of Arts in Educational Science from the University of Zürich. He further holds a federal Swiss diploma as an Expert in Financial Advisory, is a Certified International Wealth Manager (CIWM) and has a diploma in Marketing and Project Management from the NBW in Zürich.

BRAND NICOLAS

Partner, Lakestar
Berlin, Germany

Nicolas Brand is a partner at LAKESTAR, a global venture capital group that invests in highly disruptive technology companies across all stages of their lifecycle. He is running the group’s activities in blockchain and the digital asset space more broadly. Most recently he led LAKESTAR’s investments into ShapeShift.io and Blockchain.info.

DICKIE LAUREN

Principal, Dickie Law Group
Washington D.C., USA

Lauren Dickie, principal with the DICKIE Law Group, is a senior legal consultant for Quinn Emanuel’s Washington, D.C. office. Before consulting for Quinn Emanuel, Lauren spent five years as an Assistant United States Attorney in Washington, D.C., where she prosecuted a wide range of crimes, including conspiracy and obstruction of justice, and tried more than 40 criminal cases, including approximately 18 jury trials. She authored appellate briefs, argued appeals in both the D.C. Court of Appeals and the D.C. Circuit, and directed more than 75 grand jury investigations. During her tenure at the U.S. Attorney’s office, she received three Special Achievement Awards.

Lauren’s current practice focuses on the representation of corporate and individual clients in cross border white-collar criminal matters and complex civil litigation cases relating to allegations of tax and securities fraud, violations of the U.S. Foreign Corrupt Practices Act, money laundering, False Claims Act violations, U.S. economic sanctions violations, and designations under Section 311 of

the USA Patriot Act. She also has experience developing and implementing compliance programs for multinational companies.

Lauren routinely advises clients based in Europe, Latin and South America, the Middle East and Asia.

GANZONI ROMEDI

Legal Associate, MME

Romedi Ganzoni joined MME in 2017. Previously, he worked as a lawyer at a major law firm in Zurich and at the District Court of Horgen. Romedi Ganzoni advises clients primarily in the fields of company law, restructuring, contract law and inheritance matters. In addition to his advisory work, he also represents clients in state courts.

HEKMAT SINA R., MBA

Partner, Hogan Lovells LLP

New York, USA, Frankfurt, Germany

Sina Hekmat has nearly a quarter century of experience advising clients on cross-border transactions. His particular focus includes sophisticated corporate, securities, and business transactions, with an emphasis on corporate finance and mergers and acquisitions. His ability to converse in multiple languages benefits Sina's international clientele. He represents underwriters, issuers, and shareholders in U.S., European, and global public offerings and private placements of debt and equity securities, specifically in the automotive, telecommunications, software, energy, and financial services industries. He also advises issuers and underwriters in U.S.-registered and European Prospectus Directive-approved sovereign, investment grade, and high-yield debt offerings, and represents clients in transactions involving asset-backed securities, derivatives, and other structured finance products. Sina also advises strategic and financial acquirers as well as sellers and target companies in a number of U.S., European, and global mergers and acquisitions. His experience includes tender offers, exchange offers, and hostile takeovers of publicly traded companies, as well as acquisitions (including management and/or leveraged buyouts) and dispositions (including auction sale) of business units and divisions. He represents multinational corporations in connection with cross-border joint ventures, and advises various investment firms with acquisitions and dispositions of distressed assets and non-performing loans, real estate portfolios, and portfolio companies.

HUTTER STEPHAN, Dr.

Partner, Skadden

Frankfurt am Main, Germany

Dr. Stephan Hutter is a partner in Skadden's Frankfurt office. He focuses on international capital markets transactions, cross-border **corporate transactions**, **acquisitions** and **bank financings**, **corporate governance matters**. He has a broad range of experience in initial public offerings, capital increases and high-yield debt financings involving international securities offerings of German, Austrian and Swiss companies, including dual listings and private placements of shares, **and debt securities** in the United States.

For more than **two** **decades**, Dr. Hutter has been named by industry surveys as a market-leading German and European capital markets practitioner for equity and debt transactions, and he has spoken and published on international securities laws transactions and issues.

RENZ HARTMUT

Group Chief Compliance Officer, LBBW Landesbank Baden-Württemberg
Stuttgart, Germany

Hartmut T. Renz is Group Chief Compliance Officer for LBBW Landesbank Baden-Württemberg in Stuttgart. His role includes global and group-wide Compliance responsibilities i.e. for Capital Markets Compliance, Anti Money Laundering, Fraud Prevention, Anti-Corruption, Terrorism Financing, Sanctions and Embargo as well as Corporate Compliance and Reputational Risk Management.

Prior to this role Hartmut joined Kaye Scholer as Finance Counsel in the Frankfurt office in 2014. Hartmut's practice focused on finance and capital markets regulation with a particular emphasis on compliance matters. He is experienced in compliance requirements, particularly as they relate to capital markets, corporate and real estate matters, as well as to corporate governance, anti-corruption, fraud prevention and data protection. As a graduate of the University of Heidelberg, Hartmut joined Kaye Scholer from commercial bank Helaba, where he served as Group Compliance Officer Capital Markets. Prior to this, he was leading the strategy department of investment banking of DZ Bank AG and was responsible for issues relating to capital markets law. He started his career at the BVI Bundesverband Investment and Asset Management where he dealt with questions with regard to pension schemes based on investment funds. Hartmut Renz often holds seminars in the field of capital market law and security transactions and publishes regularly articles on this. Furthermore, he is a lecturer at the Frankfurt School of Finance and Management within the "Certified Compliance Professional Programs (CCP)" and at the University of St. Gallen, Switzerland, within the "Executive Master of Business Law Program (M.B.L.)". In addition, he was a member of the Sanctions Committee of the Frankfurt Stock Exchange and a founding member of BDCO, the German Compliance Officers Association.

SCHMID CHRISTINE, CFA

Head Investment Solutions, Credit Suisse
Zurich, Switzerland

Christine Schmid is a Managing Director of Credit Suisse and serves as Head Investment Solutions in the Swiss Universal Bank. Investment Solutions combines advisory and delivery services for all investment categories as well as discretionary and pension solutions. She leads the Private Placement Committee for Switzerland and is a member of the Private Equity Business Board of Credit Suisse. Previously, she was Head of Global Equity and Credit Research, herself specialized as an analyst for global financials. In addition she was a member of the Chairman office from 2012 till 2016 involved in regulatory, digital and other strategic projects. Prior to these appointments, she worked at Credit Suisse Asset Management running quantitative investments including a stock screener with sustainability data and started her career in finance and accounting in 1993.

Christine Schmid holds a Master's Degree in Economics from the University of Zürich and is a CFA charterholder. She is an Alumni of the Young Leaders of the Swiss American Foundation as well as the Bertelsmann Business Women School. Further she is a member of the Swiss Bankers Association (SBA) and the International Capital Market Association (ICMA) steering committee for bail-in regulation and was newly added as a board member for Swiss Sustainable Finance (SSF). Since 2012 she is a Lecturer on Regulation and Public Affairs at the E.M.B.L faculty at the University of St. Gallen (HSG) and holds an advisor position on 'Redesigning Financial Services', a think tank founded by HSG and ETH.

SULZER STEFAN, Dr., LL.M., Attorney-at-law
Associate General Counsel, Alcon Laboratories, Inc.
Fort Worth, TX, USA

Stefan Sulzer is Associate General Counsel at Alcon Laboratories, Inc. in Fort Worth, Texas, USA., responsible for legal matters arising in connection with Alcon's business operations in the Americas region. Prior to joining Alcon on international assignment from Novartis, he was Head Corporate Finance Legal at Novartis. In this function, Stefan Sulzer had Novartis Group-wide responsibility for matters relating to finance and securities laws, particularly to US and Swiss securities laws. He provided legal advice with respect to domestic and international credit market transactions, as well as financing of mergers and acquisitions, including the Alcon acquisition, from both a transactional and corporate governance perspective.

Stefan Sulzer received a degree in economics from the University of Applied Sciences (Betriebsökonom HWV), Zurich (Switzerland). He obtained his law degree (lic. iur.) and doctoral degree in law (Dr. iur.) from the University of St. Gallen (Switzerland) as well as an LL.M. degree from the University of Michigan, Ann Arbor (USA). He is admitted to the Swiss and New York bars.

WAYGOOD ANETTE, Dr.
Senior Associate, Baker & McKenzie
Zurich, Switzerland

Dr. Anette Waygood-Weiner is a senior associate in Baker & McKenzie's Zurich office. She focuses on advising international and domestic clients in areas of capital markets and securities transactions, and financial regulatory matters. She regularly provides legal advice to issuers and investment banks on equity and debt capital market transactions, including ongoing compliance with securities laws and other compliance matters. Her practice also includes advising on legal matters related to financial services and regulatory compliance, including on FinTech, licensing of financial intermediaries, structuring of funds, financial intermediary rules, insurance brokerage and anti-money laundering. She also advises start-ups and venture capitalists relating to M&A transactions and ongoing compliance.

She graduated from the University of St. Gallen Law School and was subsequently admitted to the bar. Dr. Waygood-Weiner gained initial experience working in the Frankfurt offices of major law firms and acted as in-house counsel for a medium-sized company before joining Baker McKenzie.

WEISS DANIEL, CFA
Deputy Group Treasurer, Novartis International AG Basel, Switzerland

Daniel graduated from the University of Basel, Switzerland, with a Master in business administration and economics with focus on capital markets and corporate finance. He is a CFA charter holder.

In his role as Deputy Group Treasurer at Novartis, he is in charge of the financing of the Novartis Group, the management of the rating agency relationships and all other capital market related activities. He is also responsible for the Group's currency risk management and treasury control / reporting operations as well as the US treasury. Prior to his current role, he worked at Novartis Corporation in New York. Daniel joined Novartis in 1999 as a Portfolio Manager managing equities for the Swiss Pension Plan.